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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FIRST NAMED APPLICANT  
ATTORNEY DOCKET NO./TITLE  
\$ 1185.1044/JD

NOT ASSIGNED

NOTICE TO FILE MISSING PARTS OF  
Filing Date Granted

0000

04/13/99

An Application Number and Filing Date have been assigned to this application. The items indicated below is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay the extension fee to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(a) below to a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) ☒ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the inventor(s) identifying this application by the above Application Number and Filing Date, is required.

☐ 6. The application was not filed in English since your check was returned without payment (37 CFR 1.21(c)).

☐ 7. Your filing receipt was mailed in a language other than English.

☒ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k) unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

*L. Bell*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Shingo OHKAWA

U. S. Application Serial No. 09/273,448

Filing Date: March 22, 1999

For: SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE AND  
LIQUID CRYSTAL DISPLAY



*Handwritten initials: JB*

VERIFICATION OF TRANSLATION

Honorable Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

I, Takahiro UOZUMI, residing at c/o AIWA INTERNATIONAL PATENT AGENCY, 2F.,  
Yamagata Bldg., 23-10, Toranomom 1-chome, Minato-ku, Tokyo, Japan, declare:

- (1) that I know well both Japanese and English languages;
- (2) that I translated the above-identified U.S. Patent Application from Japanese to English;
- (3) that the attached English translation is a true and correct translation of the above-identified U.S. Patent Application to the best of my knowledge and belief; and
- (4) that all statements made of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements and the like are punishable by fine or imprisonment, or both, under 18 USC 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

*Handwritten signature: Takahiro Uozumi*

Takahiro UOZUMI

Date: May 27, 1999

09273448-0322260



# Sector

Docket No. 1185.1044/JDH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shingo OHKAWA

Serial No.: 09/273,448

Filed: March 22, 1999

For: SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE AND  
LIQUID CRYSTAL DISPLAY

SUBMISSION OF ENGLISH TRANSLATION AND CERTIFIED COPIES  
OF PRIORITY DOCUMENTS TO COMPLETE  
APPLICATION UNDER 37 C.F.R. § 1.17(k)

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: Application Processing Division  
Special Processing and Correspondence Branch

Sir:

Pursuant to 37 C.F.R. § 1.53(d) and in response to the U.S. Patent and Trademark Office Notice mailed April 13, 1999, enclosed is the English translation and the certified copies of the Priority Documents for completing the missing parts of the subject application. Also enclosed is payment for the necessary \$130.00 surcharge for English translation set forth in 37 C.F.R. § 1.17(k) and \$130.00 surcharge for submission of Declaration set forth in 37 CFR § 1.16(e).

It is requested that the English translation and the certified copies of the Priority Documents be entered in the file for the above-referenced application and that the application be advanced to examination.

Serial No.: 09/273,448

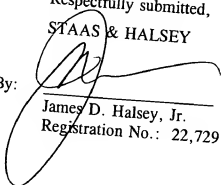
Docket No.: 1185.1044/JDH

If any further payments are required in connection with the filing of this paper, please charge same to our Deposit Account No.19-3935.

Respectfully submitted,

STAAS & HALSEY

By:

  
James D. Halsey, Jr.  
Registration No.: 22,729

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Date: June 7, 1999